

# LECTURE 5: Degenerate fermions

1° Understanding chemical potential

$$dE = TdS - pdV + \mu dN \Rightarrow \mu = \left( \frac{\partial E}{\partial N} \right)_{S,V}$$

$$F = E - TS \Rightarrow dF = -SdT - pdV + \mu dN \Rightarrow \mu = \left( \frac{\partial F}{\partial N} \right)_{T,V}$$

$$= -T \left( \frac{\partial S}{\partial N} \right)_{E,N}$$

$$G = F + pV = E - TS + pV$$

$$dG = -SdT + Vdp + \mu dN \Rightarrow \mu = \left( \frac{\partial G}{\partial N} \right)_{T,P} = G/N$$

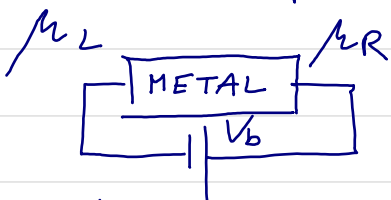
→ assume we apply potential energy  $V_0$  to quantum system → single particle energy levels then change according to  $\epsilon_n \rightarrow \epsilon_n + V_0$

$$Z'_1 = \sum_n e^{-(\epsilon_n + V_0)/k_B T} = e^{-V_0/k_B T} \sum_n e^{-\epsilon_n/k_B T} = e^{-V_0/k_B T} Z_1$$

$$F'_1 = -k_B T \ln Z'_1 = V_0 - k_B T \ln Z = V_0 + F_1$$

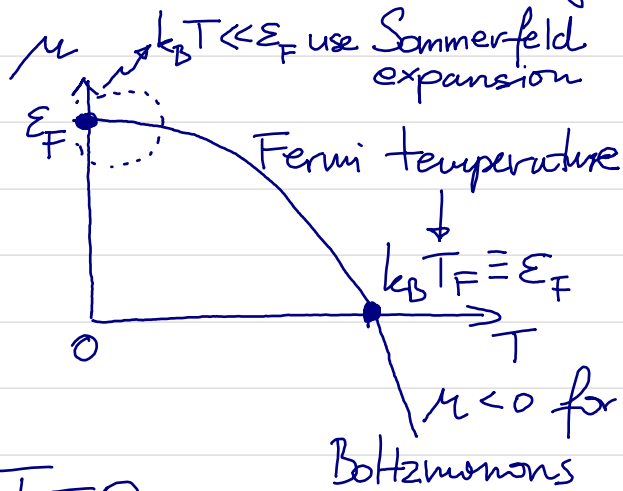
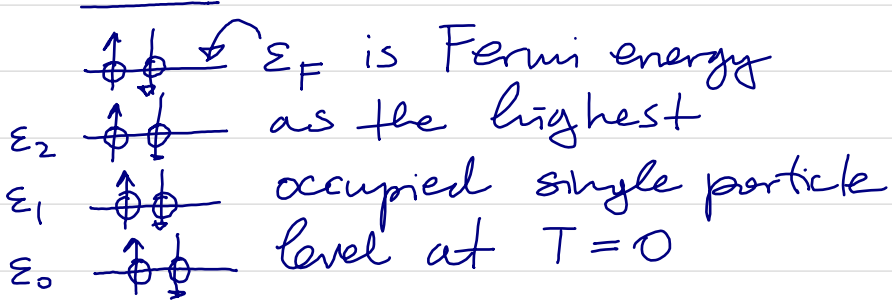
for  $N$  particles  $F'_N = -N k_B T \ln Z + N V_0$

$$\mu'_N = \left( \frac{\partial F'_N}{\partial N} \right)_{T,V} = \mu + V_0$$



electrochemical potential ←  $\left. \begin{array}{l} \mu_L = \mu + eV_b/2 \\ \mu_R = \mu - eV_b/2 \end{array} \right\}$  drives current

2D use  $\mu = \left(\frac{\partial E}{\partial N}\right)_{S,V}$  for intuitive understanding



2° Degenerate fermions at  $T=0$

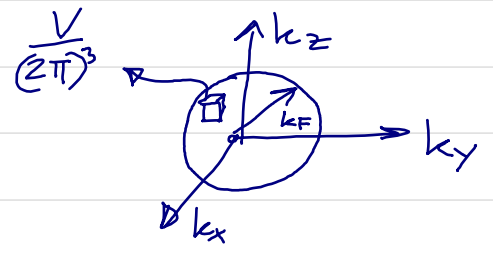
$$\epsilon = \frac{\hbar^2 k^2}{2m}, N = g \cdot \sum_{|k| \leq k_F} 1$$

$$= g \cdot \frac{V}{(2\pi)^3} \int_0^{k_F} d^3k$$

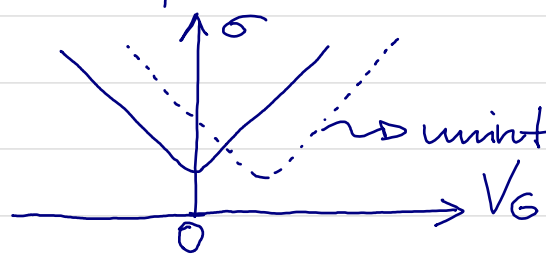
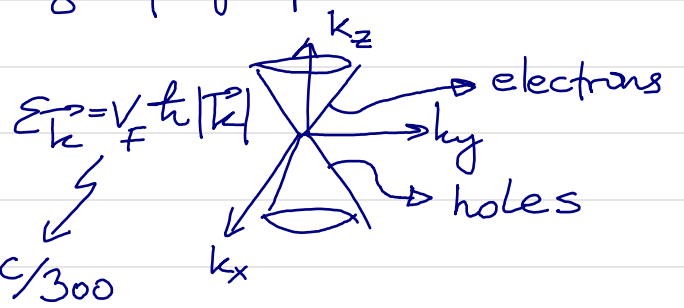
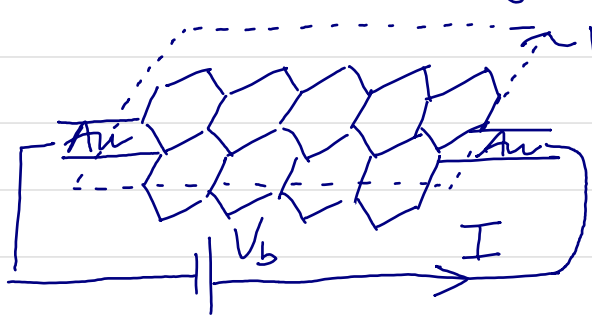
$g=2$  for  $s=1/2$  of electrons

$$\Rightarrow 2 \cdot \frac{V}{(2\pi)^3} \cdot \frac{4}{3} k_F^3 \pi = \frac{k_F^3 V}{3\pi^2}$$

$$k_F = (3\pi^2 n)^{1/3} \Rightarrow \epsilon_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3}$$



EXAMPLE: Gate voltage doping of graphene

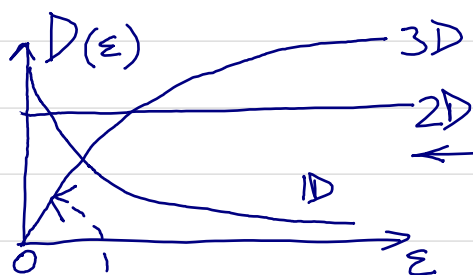


$$G = \frac{I}{V_b} = \sigma \cdot \frac{L_y}{L_x}$$

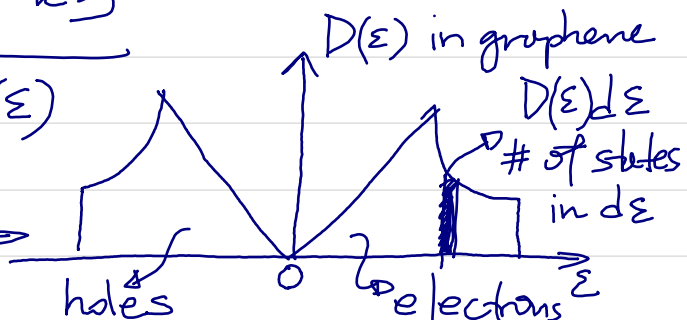
$\rightarrow$  unintentional doping by impurities

### 3° Energy integrals via density of states (DOS)

$$\sum_{\vec{k}} A(\epsilon_{\vec{k}}) = \int_0^{\infty} d\epsilon \underbrace{\left[ \sum_{\vec{k}} \delta(\epsilon - \epsilon_{\vec{k}}) \right]}_{D(\epsilon)} A(\epsilon)$$



← EXAMPLES →



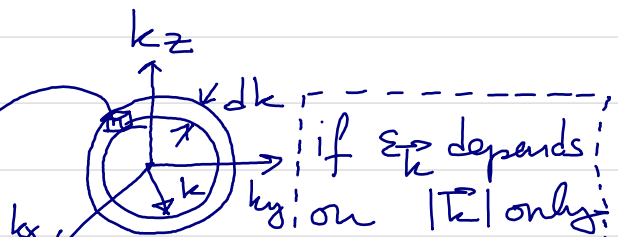
$$\sim \sqrt{\epsilon}$$

$$D(\epsilon) d\epsilon = 2_s \frac{4\pi k^2 dk}{(2\pi)^3 V}$$

$$D(\epsilon) = \sqrt{\frac{k^2}{\pi^2} \left( \frac{d\epsilon}{dk} \right)^{-1}} = \sqrt{\frac{k^2}{\pi^2} \cdot \frac{m}{\hbar^2 k}}$$

$$= \sqrt{\frac{\sqrt{2} m^{3/2}}{\hbar^3 \pi^2}} \sqrt{\epsilon}$$

$$\text{for } \epsilon_{\vec{k}} = \frac{\hbar^2 k^2}{2m}$$



use spherical shell — where number of states via two different expressions, along energy axis or in k-space, must be the same

⇒ if we change dimensionality of space or energy-momentum dispersion  $\epsilon_{\vec{k}}$ , DOS must be recalculated

$$N = \int_0^{\epsilon_F} D(\epsilon) d\epsilon = V \cdot \frac{\sqrt{2} m^{3/2}}{\pi^2 \hbar^3} \int_0^{\epsilon_F} \sqrt{\epsilon} d\epsilon = \sqrt{\frac{(2\epsilon_F m)^{3/2}}{3\pi^2 \hbar^3}}$$

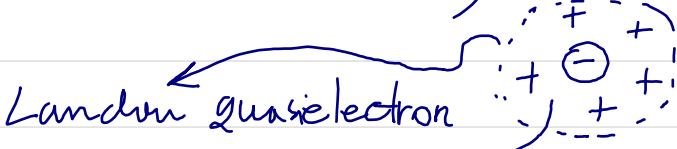
$$E/N = \frac{\int_0^{\epsilon_F} \epsilon D(\epsilon) d\epsilon}{\int_0^{\epsilon_F} D(\epsilon) d\epsilon} = \frac{\int_0^{\epsilon_F} \epsilon^{3/2} d\epsilon}{\int_0^{\epsilon_F} \epsilon^{1/2} d\epsilon} = \frac{2/5 \epsilon_F^{5/2}}{2/3 \epsilon_F^{3/2}} = \frac{3}{5} \epsilon_F$$

# 4° Landau Fermi Liquid justification for treating electrons in metals (or white dwarf stars) as NONINTERACTING quasiparticles

	$E_F$ (eV)	$T_F$ ( $10^4$ K)
Li	4.7	5.5
Na	3.2	3.8
Al	11.7	13.6
Cu	7	8.2
Ag	5.5	6.4

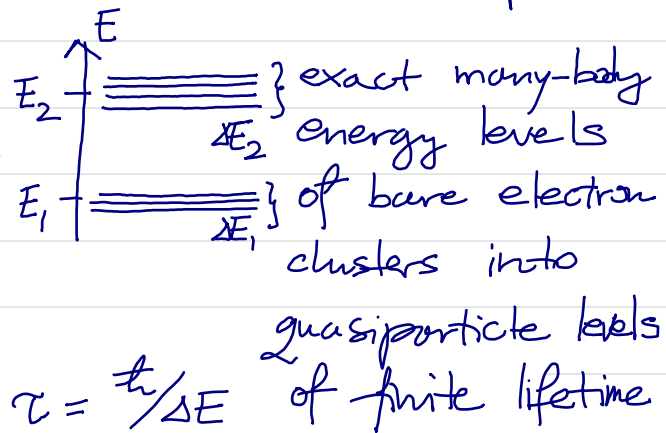
where is Coulomb interaction  $\Rightarrow$  Landau fermi liquid  
 a)  $D(\epsilon) \cdot \Delta\epsilon = n_{\text{quasielectrons}} \ll n$  for  $\Delta\epsilon = k_B T$  or  $eV_b$   
 so weak short-range interactions

b) Fermi sea screens long-range interactions



$\rightarrow$  exchange-correlation hole surrounding bare electron

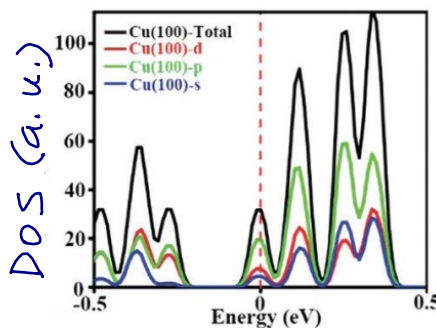
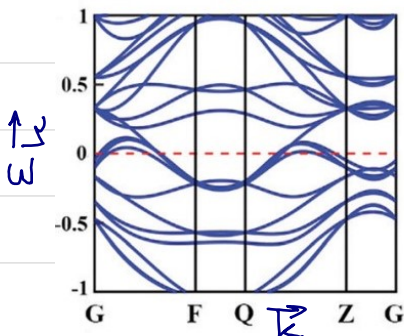
complementary explanation



Pippard's model of the Fermi surface of copper (P2049)

Colour photograph of Pippard's model of the Fermi surface of copper. Pippard's microwave experiments enabled the three-dimensional Fermi surface of copper to be inferred, the first time this had been done for any material. The Fermi surface lies in momentum space and many of a material's properties are determined by the behaviour of electrons in the vicinity of the surface.

LOCATION: Cavendish Lab at the U. of Cambridge



Phys. Chem. Chem. Phys. **21**, 21341 (2019)

## 5° Sommerfeld expansion ↗ Riemann $\zeta$ -function

$$\lim_{z \rightarrow \infty} f_m^-(z) = \frac{(\ln z)^m}{m!} \sum_{\alpha=0}^{\text{even}} 2 f_2^-(1) \frac{m!}{(m-\alpha)!} (\ln z)^{-\alpha}$$

$$= \frac{(\ln z)^m}{m!} \left[ 1 + \frac{\pi^2}{6} \frac{m(m-1)}{(\ln z)^2} + \frac{7\pi^4}{360} \frac{m(m-1)(m-2)(m-3)}{(\ln z)^4} + \dots \right]$$

modern mathematical & physically transparent interpretation

$$f(\varepsilon) = \frac{1}{e^{\beta(\varepsilon-\mu)} + 1} \sim \theta(\mu - \varepsilon) + \sum_{n=1}^{\infty} \frac{\delta^{[2n-1]}(\mu - \varepsilon)}{2n!} (k_B T)^{2n} (2^{2n} - 2)$$

derivatives of  $\delta$ -function  $\leftarrow \cdot (-1)^n \pi^{2n} B_{2n}$  Bernoulli numbers

$$\sum_{k=0}^n B_k \binom{n+1}{k} = 0, \quad \forall n \geq 1, \quad n \in \mathbb{N}$$

$$B_1 = -\frac{1}{2}, \quad B_2 = \frac{1}{6}, \quad B_3 = 0, \quad B_4 = -\frac{1}{30}, \quad B_5 = 0, \quad B_6 = \frac{1}{42}$$

↗ Sommerfeld expansion is ASYMPTOTIC (divergent) rather than CONVERGENT (Taylor) series

$$f(x) = S_n(x) + R_{n+1}(x), \quad S_n(x) = \sum_{n=0}^N a_n x^n$$

error function

↗  $f(x), N \rightarrow \infty, x \text{ fixed}$   
↘  $f(x), N \text{ fixed}, x \rightarrow \infty$

**EXAMPLE:**  $\rightarrow \text{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-t^2} dt$

C:  $\text{erf}(x) \approx \frac{2}{\sqrt{\pi}} \left( x - \frac{1}{3} x^3 + \dots + \frac{(-1)^n}{(2n+1)n!} x^{2n+1} + \dots \right)$  CONVERGENT for  $x \in \mathbb{R}$  but slowly for large  $x$

A:  $\text{erf}(x) \sim 1 - \frac{e^{-x^2}}{\sqrt{\pi}} \sum_{n=0}^{\infty} (-1)^{n+1} \frac{(2n-1)!!}{2^n} \frac{1}{x^{n+1}}$  ASYMPTOTIC

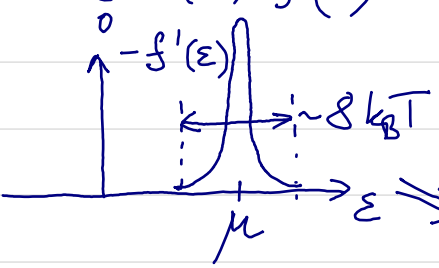
when  $x=3$ , C needs 31 terms for  $10^{-5}$  accuracy, whereas A needs only 2 terms

$$f_m^{-}(\bar{z}) = \frac{1}{(m-1)!} \int_0^{\infty} dx x^{m-1} \frac{1}{z^{-1}e^x + 1} \xrightarrow[\text{based thermal averages}]{\substack{\text{instead of expanding} \\ \text{we can expand DOS}}} \int_0^{\infty} \underbrace{\psi(\epsilon) f(\epsilon)}_{\frac{1}{e^{\beta(\epsilon-\mu)} + 1}} d\epsilon$$

$\psi(0) = f(\infty) = 0$

$$\int_0^{\infty} \psi(\epsilon) f(\epsilon) d\epsilon = \psi(\epsilon) f(\epsilon) \Big|_0^{\infty} - \int_0^{\infty} \psi(\epsilon) f'(\epsilon) d\epsilon$$

$\psi(\epsilon) = \int_0^{\epsilon} \psi(\epsilon') d\epsilon'$  for smooth  $\psi(\epsilon')$



$\psi(\epsilon) = \sum_{m=0}^{\infty} \frac{1}{m!} \left( \frac{d^m \psi}{d\epsilon^m} \Big|_{\epsilon=\mu} \right) (\epsilon - \mu)^m$

Taylor series around  $\epsilon = \mu$

$$\int_0^{\infty} \psi(\epsilon) f(\epsilon) d\epsilon = - \sum_{m=0}^{\infty} \frac{1}{m!} \left( \frac{d^m \psi}{d\epsilon^m} \Big|_{\epsilon=\mu} \right) \int_0^{\infty} (\epsilon - \mu)^m f'(\epsilon) d\epsilon$$

$$\int_0^{\infty} (\epsilon - \mu)^m f'(\epsilon) d\epsilon = - \int_0^{\infty} (\epsilon - \mu)^m \frac{\beta e^{\beta(\epsilon-\mu)}}{[e^{\beta(\epsilon-\mu)} + 1]^2} d\epsilon$$

$$= - \beta^{-m} \int_{-\beta\mu}^{\infty} dx \frac{e^x}{(e^x + 1)^2} x^m \quad \left( x = \beta(\epsilon - \mu) \right)$$

$\int_{-\beta\mu}^{\infty} \rightarrow \int_{-\infty}^{\infty}$  because  $\beta\mu \gg 1$  and integrand has sharp peak around  $x=0$

$$= - (k_B T)^m I_m$$



$$I_m = \int_{-\infty}^{\infty} \frac{e^x x^m}{(e^x + 1)^2} dx \quad \underbrace{\frac{e^x}{(e^x + 1)^2} = \frac{1}{(e^x + 1)(e^{-x} + 1)}}_{\text{is even under } x \mapsto -x}$$

$$\Leftrightarrow I_m \equiv 0 \text{ for } m = 2p + 1$$

$$I_0 = \int_{-\infty}^{\infty} \frac{e^x}{(e^x + 1)^2} dx = -\frac{1}{e^x + 1} \Big|_{-\infty}^{\infty} = 1, \quad I_2 = \pi^2/3$$

$$\int_0^{\mu} \psi(\varepsilon) f(\varepsilon) d\varepsilon \approx \int_0^{\mu} \psi(\varepsilon) d\varepsilon + \frac{\pi^2}{6} (k_B T)^2 \left( \frac{d\psi}{d\varepsilon} \right)_{\varepsilon=\mu} + \dots$$

■ APPLICATIONS:  $\mu(T)$ ,  $E(T)$ ,  $C_V(T)$ ,  $p(T)$  for electrons with  $\varepsilon_k = \hbar^2 k^2 / 2m$

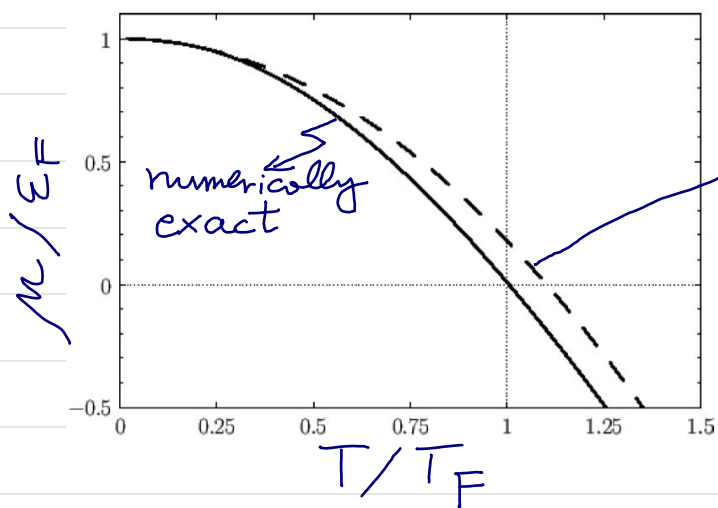
$$i) N = \int_0^{\mu} D(\varepsilon) d\varepsilon + \frac{\pi^2}{6} (k_B T)^2 \left( \frac{dD}{d\varepsilon} \right)_{\varepsilon=\mu} + \dots$$

$$\int_0^{\mu} D(\varepsilon) d\varepsilon = \frac{V}{3\pi^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{3/2}$$

$$\left( \frac{dD}{d\varepsilon} \right)_{\varepsilon=\mu} = \frac{V}{(4\pi)^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{-1/2}$$

$$N \approx \frac{V}{3\pi^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{3/2} + \frac{V}{24} \frac{(2m)^{3/2}}{\hbar^3} (k_B T)^2 \mu^{-1/2}$$

$$\varepsilon_F^{3/2} \approx \mu^{3/2} + \frac{\pi^2}{8} (k_B T)^2 \mu^{-1/2} \Rightarrow \mu \approx \varepsilon_F \left[ 1 + \frac{\pi^2}{8} \left( \frac{k_B T}{\varepsilon_F} \right)^2 \right]^{-2/3}$$



$$T_F = \varepsilon_F / k_B$$

$k_B T / \varepsilon_F$  is natural dimensionless expansion parameter

$$\approx \varepsilon_F \left[ 1 - \frac{\pi^2}{12} \left( \frac{k_B T}{\varepsilon_F} \right)^2 \right]$$

$$ii) E(T) = \int_0^{\mu} \varepsilon D(\varepsilon) d\varepsilon + \frac{\pi^2}{6} (k_B T)^2 \left[ \frac{d(\varepsilon D)}{d\varepsilon} \right]_{\varepsilon=\mu} + \dots$$

$$\int_0^{\mu} \varepsilon D(\varepsilon) d\varepsilon = \frac{V}{5\pi^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{5/2}$$

$$\left[ \frac{d\varepsilon D}{d\varepsilon} \right]_{\varepsilon=\mu} = \frac{3V}{4\pi^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{1/2}$$

$$E \approx \frac{V}{5\pi^2} \frac{(2m)^{3/2}}{\hbar^3} \mu^{5/2} + \frac{V}{8} \frac{(2m)^{3/2}}{\hbar^3} (k_B T)^2 \mu^{1/2}$$

$$= \frac{3}{5} N_{\varepsilon_F} \left[ \left( \frac{\mu}{\varepsilon_F} \right)^{5/2} + \frac{5\pi^2}{24} \left( \frac{k_B T}{\varepsilon_F} \right)^2 \left( \frac{\mu}{\varepsilon_F} \right)^{1/2} \right]$$

$$= \underbrace{\frac{3}{5} N_{\varepsilon_F}}_{E(T=0)} + \frac{\pi^2}{4} N_{\varepsilon_F} \left( \frac{k_B T}{\varepsilon_F} \right)^2$$

$$iii) C_V(T) = \frac{dE(T)}{dT} = \frac{\pi^2}{2} k_B N \frac{k_B T}{\varepsilon_F} = \frac{\pi^2}{2} k_B N \frac{T}{T_F}$$

$$\Delta E \propto k_B T N T/T_F \Rightarrow C_V = \frac{d\Delta E}{dT} \propto k_B N T/T_F$$

INTUITIVE interpretation recovers  $\propto T$  linear dependence  
 so, the role of rigorous theory is just to compute  
 the prefactor of order  $10^0$

$$iv) p = \frac{2}{3} \frac{E}{V} = \frac{2}{5} n \varepsilon_F + \frac{\pi^2}{6} n \varepsilon_F \left( \frac{k_B T}{\varepsilon_F} \right)^2$$

